

**QUARTERLY REPORT AND CERTIFICATION  
OF THE COUNTY TREASURER  
For Quarter Ending September 30, 2017**

The Government Code requires the County Treasurer to render a Quarterly Report to the County Administrator, the Board of Supervisors, the County Auditor, the Treasury Oversight Committee, and the participants of the Treasury Pool.

The Quarterly Report shall state compliance of the portfolio to the County Investment Policy and denote the ability of the pool to meet its pool's expenditures for the next six months, or provide an explanation as to why sufficient money shall or may not be available.

**COMPLIANCE CERTIFICATION**

I certify that the investments of the Sonoma County Investment Pool are in compliance with the County Investment Policy.

I further certify that the pool has sufficient cash flow available to meet all budgeted expenditure requirements for the next six months.



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Erick Roeser  
Treasurer  
County of Sonoma

**SONOMA COUNTY POOLED INVESTMENT PROGRAM  
For Quarter Ending September 30, 2017**

BEGINNING FUND BALANCE (7/01/2017)	\$2,217,521,693
ENDING FUND BALANCE	\$1,974,050,288
AVERAGE DAILY FUND BALANCE	\$2,003,915,595
TOTAL INTEREST EARNED (after fees)	\$6,046,924
INTEREST RATE (after fees)	1.197
INTEREST RATE (before fees)	1.265

**TOTAL FUNDS MANAGED BY TREASURY**

TOTAL TREASURY BALANCE (including tobacco endowment, PACE bond investments, active bank accounts and money in transit)	\$1,985,153,483
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**SONOMA COUNTY QUARTERLY INVESTMENT REPORT**  
**For Quarter Ending September 30, 2017**

**INVESTMENT POOL YIELD:**

The yield during this quarter is 1.265% before fees and 1.197% after fees.

**MARKET VALUE:**

The market value of the portfolio as of September 30, 2017, is at 99.67% of cost. The market values are down from the last Quarterly Report. Market values were obtained from SunGard Financial Systems and Bloomberg.

**REVERSE REPURCHASE AGREEMENTS:**

The pool has no reverse repurchase agreements.

**WEIGHTED AVERAGE MATURITY:**

The weighted average days to maturity is 511 days.

Excluding SCEIP investments, the weighted average days to maturity is 466 days.

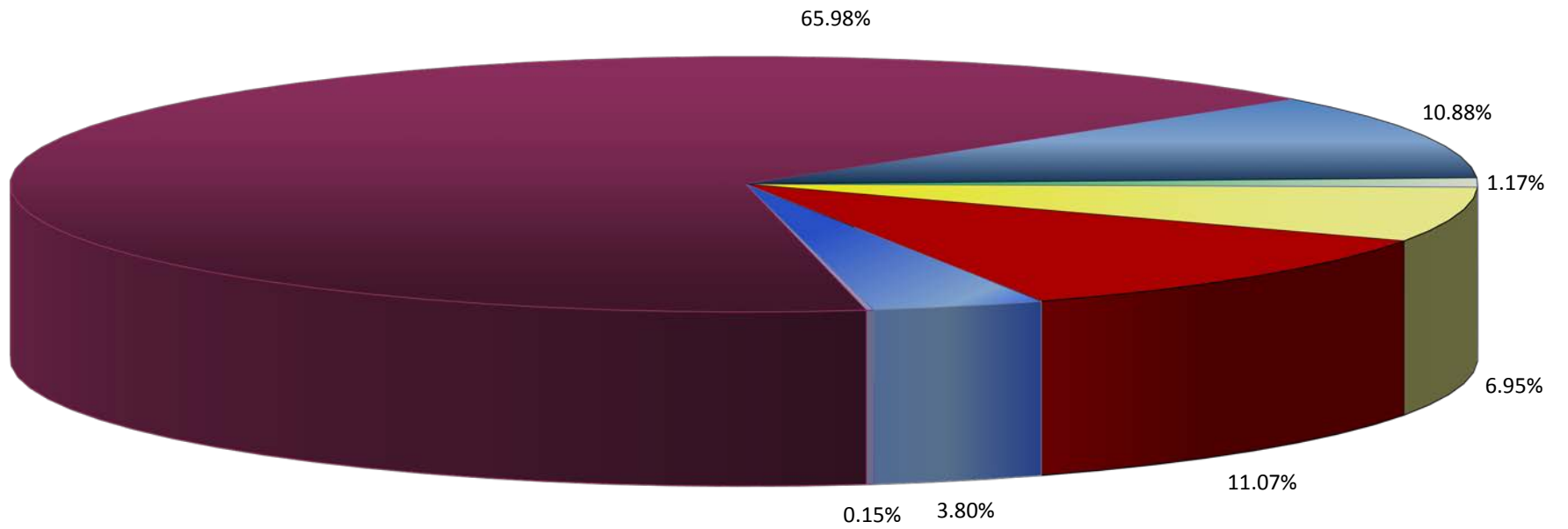
**CHARTS:**

- Chart 1:** The composition of the Investment Pool by the type of investment.  
**Chart 2:** Interest earnings of the Sonoma County Investment Pool compared to FED FUNDS and Local Agency Investment Fund.

**DETAILED LISTING OF INVESTMENTS:**

A detailed listing of all investments for the Pooled Investment Fund is located at the end of this report.

# SONOMA COUNTY'S POOLED INVESTMENTS AS OF 9/30/2017

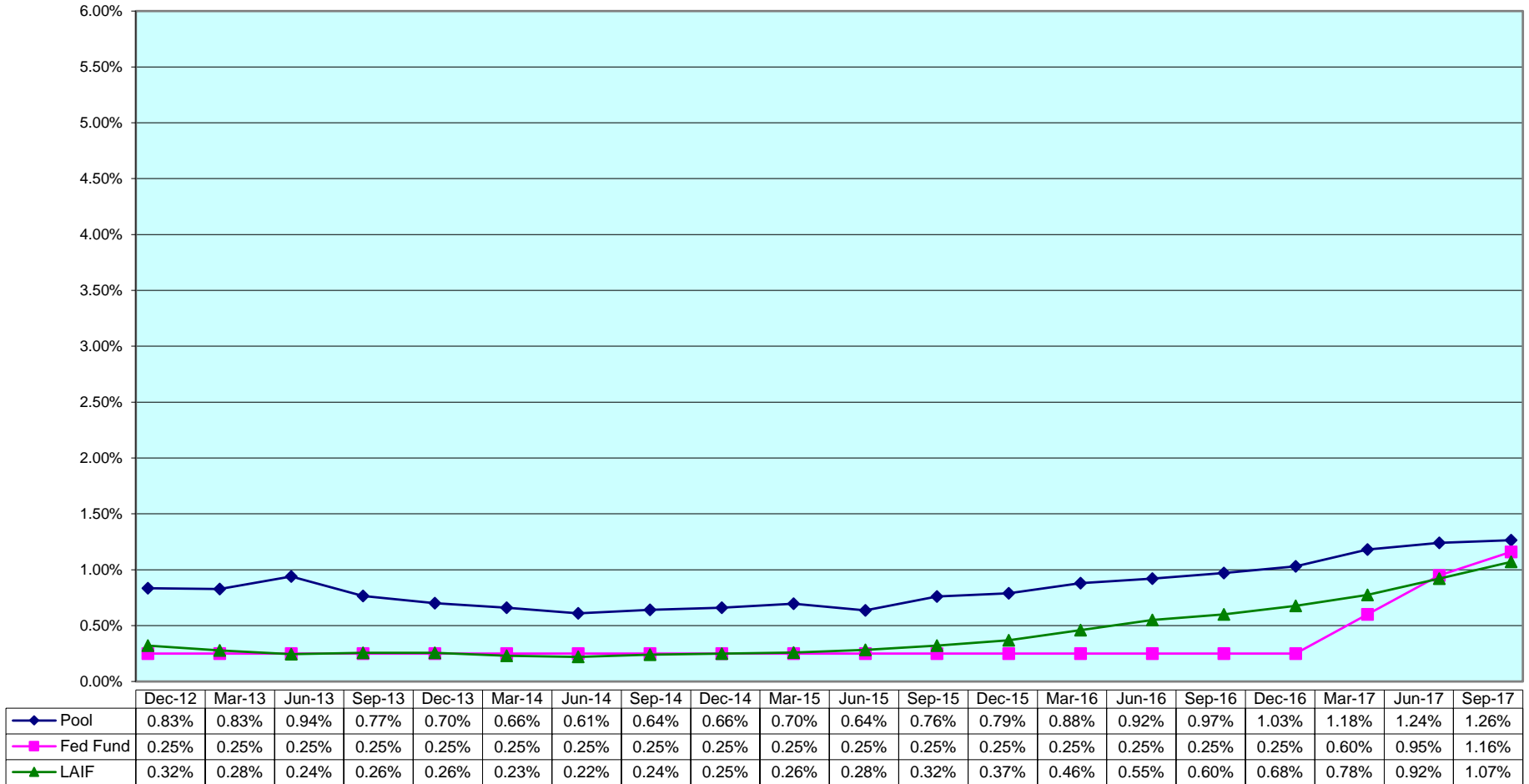


■ GOVERNMENT POOLS & JPA's  
■ CASH, CHECKS, AND WARRANTS  
■ NEGOTIABLE CERTIFICATES OF DEPOSIT

■ OTHER GOVERNMENTS  
■ MONEY MARKET MUTUAL FUNDS

■ TREASURY BILLS AND NOTES  
■ CORPORATE NOTES AND BONDS

### SONOMA COUNTY TREASURER INVESTMENT POOL QUARTERLY YIELD COMPARISON



\*This does not include special TRAN investments & deferred compensation  
 Source: County of Sonoma, Office of the Auditor-Controller-Treasurer-Tax Collector

**SONOMA COUNTY POOLED INVESTMENTS**  
**AS OF 9/30/2017**

**BOOK VALUE**

CHECKS AND WARRANTS IN TRANSIT	\$671,490
CASH IN VAULT	\$73,497
CASH IN BANK	\$22,302,319
TREASURY BILLS AND NOTES	\$214,729,221
BANKERS ACCEPTANCES	\$0
OTHER GOVERNMENTS	\$1,302,387,688
COMMERCIAL PAPER	\$0
CORPORATE BONDS AND NOTES	\$218,612,470
NEGOTIABLE CERTIFICATES OF DEPOSIT	\$75,000,000
OTHER GOVERNMENT POOLS AND JPA'S	\$3,023,614
MONEY MARKET MUTUAL FUNDS	\$137,249,990
<b>TOTAL</b>	<b>\$1,974,050,288</b>

**SONOMA COUNTY TREASURY POOLED INVESTMENT INVENTORY  
AS OF SEPTEMBER 30, 2017**



Description	Maturity Date	Purchase Date	Coupon Rate	Trading Yield	Current Par / Shares	Current Book / Shares
TREASURY NOTES	10/31/2017	11/07/2014	.75000	1.01675	10,000,000.00	9,997,847.80
TREASURY NOTES	10/31/2017	06/04/2015	1.87500	.84878	20,000,000.00	20,016,619.32
TREASURY NOTES	06/15/2018	04/03/2017	1.12500	1.11137	25,000,000.00	25,001,719.03
TREASURY NOTES	07/31/2018	08/30/2017	.75000	1.20880	10,000,000.00	9,968,309.79
TREASURY NOTES	10/31/2018	10/31/2016	.75000	.87242	25,000,000.00	24,967,238.36
TREASURY NOTES	10/31/2018	11/18/2016	.75000	1.02578	25,000,000.00	24,926,318.92
TREASURY NOTES	11/30/2018	12/12/2016	1.00000	1.11673	50,000,000.00	49,932,946.32
TREASURY NOTES	02/28/2019	03/08/2017	1.12500	1.32567	25,000,000.00	24,930,342.16
TREASURY NOTES	10/15/2019	10/31/2016	1.00000	1.02417	25,000,000.00	24,987,879.40
<b>SUBTOTAL TREASURY BILLS AND NOTES</b>		<b>10.88%</b>			<b>215,000,000.00</b>	<b>214,729,221.10</b>
FHLMC	10/13/2017	04/13/2016	.85000	.85000	4,000,000.00	4,000,000.00
FHLMC	10/27/2017	10/30/2015	.72000	.72000	10,000,000.00	10,000,000.00
FAIR NOTE 2017-1	10/31/2017	07/13/2017	2.00000	2.00000	900,000.00	900,000.00
FHLMC	11/07/2017	02/25/2016	.85000	.85000	10,000,000.00	10,000,000.00
2013 SERIES A	11/15/2017	08/28/2013	1.55000	1.55000	1,715,000.00	1,715,000.00
2013 SERIES B	11/15/2017	08/28/2013	1.55000	1.55000	445,000.00	445,000.00
FEDERAL FARM CREDIT BANK	11/20/2017	11/20/2012	.85000	.85000	10,000,000.00	10,000,000.00
FHLMC	11/24/2017	11/24/2015	.90000	.90000	15,000,000.00	15,000,000.00
FEDERAL HOME LOAN BANK	11/28/2017	11/28/2012	.92000	.92000	5,185,185.20	5,185,185.20
FHLMC	12/20/2017	12/20/2012	.92000	.92000	13,810,000.00	13,810,000.00
FHLMC	01/29/2018	10/29/2015	.85000	.85000	8,000,000.00	8,000,000.00
SONOMA VALLEY HCD SERIES 2017	01/31/2018	09/22/2017	2.00000	2.00000	1,500,000.00	1,500,000.00
FEDERAL FARM CREDIT BANK	02/16/2018	05/19/2016	.75000	.94189	20,000,000.00	19,985,702.51
FEDERAL FARM CREDIT BANK	03/06/2018	12/06/2016	1.00000	1.00000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	04/13/2018	10/19/2015	.93000	.94224	10,000,000.00	9,999,358.33
FEDERAL FARM CREDIT BANK	04/18/2018	04/12/2016	.75000	.81812	10,000,000.00	9,996,322.84
AIRPORT NOTE 2017-3	04/25/2018	04/26/2017	2.00000	2.00000	1,000,000.00	1,000,000.00
FEDERAL FARM CREDIT BANK	05/02/2018	11/02/2015	.95000	.95000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	05/02/2018	11/02/2015	.95000	.95000	10,000,000.00	10,000,000.00
FHLMC	05/17/2018	11/17/2015	1.05000	1.05000	15,000,000.00	15,000,000.00
FEDERAL HOME LOAN BANK	05/23/2018	05/23/2016	1.00000	1.00000	25,000,000.00	25,000,000.00
AIRPORT NOTE 2018-1	06/15/2018	07/03/2017	1.90000	1.90000	3,500,000.00	3,500,000.00
AIRPORT NOTE 2018-2	06/15/2018	07/03/2017	1.90000	1.90000	2,200,000.00	2,200,000.00
AIRPORT NOTE 2018-3	06/15/2018	08/01/2017	2.00000	2.00000	2,000,000.00	2,000,000.00
AIRPORT NOTE 2018-4	06/15/2018	08/30/2017	2.00000	2.00000	4,000,000.00	4,000,000.00
SONOMA COUNTY WATER AGENCY	07/01/2018	10/29/2015	1.25000	1.15031	395,000.00	395,289.49
FEDERAL FARM CREDIT BANK	07/05/2018	07/05/2016	.78000	.78000	5,000,000.00	5,000,000.00
FEDERAL FARM CREDIT BANK	07/05/2018	07/05/2016	.78000	.78000	10,000,000.00	10,000,000.00
CDC NOTE 2017-1	07/15/2018	07/15/2017	2.00000	2.00000	4,200,000.00	4,200,000.00
FEDERAL FARM CREDIT BANK	07/18/2018	04/18/2016	1.00000	1.00000	10,000,000.00	10,000,000.00
FHLMC	07/27/2018	10/27/2015	1.00000	1.00000	10,000,000.00	10,000,000.00
FHLMC	07/27/2018	10/27/2015	1.00000	1.00000	15,000,000.00	15,000,000.00
FHLMC	07/27/2018	04/28/2016	1.00000	1.00000	15,000,000.00	15,000,000.00
FHLMC	07/27/2018	05/05/2016	1.00000	1.00000	12,000,000.00	12,000,000.00
FHLMC	07/27/2018	07/27/2016	.85000	.84293	25,000,000.00	25,001,433.56
FEDERAL NATL MTG ASSN	07/27/2018	07/27/2016	.94000	.94000	12,500,000.00	12,500,000.00
FEDERAL HOME LOAN BANK	08/07/2018	07/15/2016	.62500	.78725	25,000,000.00	24,965,932.95
FHLMC	08/10/2018	05/10/2016	1.00000	1.00000	20,000,000.00	20,000,000.00
FHLMC	08/15/2018	01/25/2017	1.00000	1.14496	35,000,000.00	34,956,225.91
FHLMC	08/17/2018	05/17/2016	1.12500	1.12500	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	08/23/2018	05/23/2016	1.00000	1.00000	20,000,000.00	20,000,000.00
UNION ELEMENTARY SD	09/01/2018	07/15/2016	2.00700	1.00016	500,000.00	504,551.37
FHLMC	09/28/2018	06/28/2016	1.05000	1.05000	10,250,000.00	10,250,000.00
FEDERAL NATL MTG ASSN	09/28/2018	09/30/2015	1.15000	1.15000	10,000,000.00	10,000,000.00
FEDERAL NATL MTG ASSN	09/28/2018	09/30/2015	1.15000	1.15000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	10/05/2018	07/15/2016	.96000	.82337	10,000,000.00	10,013,633.01

**SONOMA COUNTY TREASURY POOLED INVESTMENT INVENTORY  
AS OF SEPTEMBER 30, 2017**



Description	Maturity Date	Purchase Date	Coupon Rate	Trading Yield	Current Par / Shares	Current Book / Shares
FEDERAL NATL MTG ASSN	10/26/2018	07/26/2016	1.00000	1.00000	8,000,000.00	8,000,000.00
FEDERAL NATL MTG ASSN	10/29/2018	10/30/2015	1.10000	1.10000	15,000,000.00	15,000,000.00
FEDERAL FARM CREDIT BANK	11/16/2018	11/16/2016	.89000	.97214	20,000,000.00	19,981,724.58
FEDERAL FARM CREDIT BANK	12/28/2018	04/01/2016	1.19000	1.21806	10,000,000.00	9,996,605.90
FEDERAL HOME LOAN BANK	01/03/2019	01/03/2017	1.32000	1.32000	15,000,000.00	15,000,000.00
FEDERAL FARM CREDIT BANK	02/11/2019	02/11/2016	1.20000	1.20000	5,000,000.00	5,000,000.00
FHLMC	02/15/2019	02/15/2017	1.30000	1.30000	15,000,000.00	15,000,000.00
FEDERAL HOME LOAN BANK	02/25/2019	03/02/2017	1.25000	1.38451	15,000,000.00	14,972,246.07
FEDERAL NATL MTG ASSN	03/29/2019	09/30/2015	1.30000	1.30000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	04/17/2019	04/17/2017	1.32000	1.35997	25,000,000.00	24,984,845.26
FEDERAL HOME LOAN BANK	04/18/2019	04/18/2017	1.32000	1.36099	25,000,000.00	24,984,432.05
FEDERAL HOME LOAN BANK	04/29/2019	04/29/2016	1.25000	1.25000	15,000,000.00	15,000,000.00
FHLMC	05/24/2019	05/27/2016	1.12500	1.12500	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	06/05/2019	06/05/2017	1.39000	1.39000	15,000,000.00	15,000,000.00
FEDERAL FARM CREDIT BANK	06/06/2019	06/06/2016	1.30000	1.30000	20,000,000.00	20,000,000.00
FHLMC	06/14/2019	06/14/2017	1.40000	1.40000	10,000,000.00	10,000,000.00
FHLMC	06/28/2019	12/30/2016	1.50000	1.50000	15,000,000.00	15,000,000.00
FHLMC	06/28/2019	12/30/2016	1.50000	1.50000	20,000,000.00	20,000,000.00
FEDERAL NATL MTG ASSN	06/28/2019	06/28/2016	1.20000	1.20000	12,500,000.00	12,500,000.00
FEDERAL NATL MTG ASSN	06/28/2019	06/28/2016	1.20000	1.20000	8,450,000.00	8,450,000.00
SONOMA COUNTY WATER AGENCY	07/01/2019	10/29/2015	1.50000	1.40037	405,000.00	405,685.96
FEDERAL FARM CREDIT BANK	07/05/2019	07/05/2016	1.02000	1.02000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	07/05/2019	07/07/2016	1.08000	1.08000	25,000,000.00	25,000,000.00
FHLMC	07/26/2019	04/26/2017	1.50000	1.50000	15,000,000.00	15,000,000.00
FEDERAL NATL MTG ASSN	07/26/2019	07/26/2016	1.10000	1.10000	7,500,000.00	7,500,000.00
FEDERAL NATL MTG ASSN	07/26/2019	08/10/2016	1.12500	1.15459	15,000,000.00	14,992,080.83
FEDERAL FARM CREDIT BANK	07/29/2019	10/29/2015	1.34000	1.34000	10,000,000.00	10,000,000.00
CONTRA COSTA CNTY REDEV	08/01/2019	08/30/2017	1.50000	1.60039	3,025,000.00	3,021,308.32
FEDERAL NATL MTG ASSN	08/02/2019	12/01/2016	1.26000	1.41880	25,000,000.00	24,928,631.93
FEDERAL NATL MTG ASSN	08/23/2019	08/30/2016	1.15000	1.17532	15,000,000.00	14,992,950.30
SCEIP 2009A-10	09/02/2019	07/01/2009	3.00000	3.00000	12,761.29	12,761.29
SCEIP 2009B-10	09/02/2019	08/03/2009	3.00000	3.00000	12,810.03	12,810.03
SCEIP 2009C-10	09/02/2019	09/01/2009	3.00000	3.00000	21,831.65	21,831.65
SCEIP 2009D-10	09/02/2019	10/01/2009	3.00000	3.00000	219,343.03	219,343.03
FEDERAL FARM CREDIT BANK	09/12/2019	09/12/2017	1.37500	1.37500	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	09/13/2019	12/13/2016	1.49000	1.49000	15,000,000.00	15,000,000.00
FEDERAL FARM CREDIT BANK	09/13/2019	12/13/2016	1.49000	1.49000	15,000,000.00	15,000,000.00
FEDERAL FARM CREDIT BANK	09/27/2019	12/27/2016	1.55000	1.55000	10,000,000.00	10,000,000.00
FHLMC	11/22/2019	06/01/2017	1.50000	1.50624	17,490,000.00	17,488,459.31
FEDERAL NATL MTG ASSN	11/25/2019	11/28/2016	1.25000	1.30127	20,000,000.00	19,978,434.09
FEDERAL NATL MTG ASSN	11/25/2019	11/29/2016	1.40000	1.51332	20,000,000.00	19,952,511.44
FEDERAL FARM CREDIT BANK	11/25/2019	05/25/2016	1.30000	1.30000	10,000,000.00	10,000,000.00
FHLMC	11/26/2019	05/26/2016	1.35000	1.40141	6,500,000.00	6,493,009.54
FEDERAL HOME LOAN BANK	12/02/2019	12/02/2016	1.50000	1.50000	15,000,000.00	15,000,000.00
FHLMC	12/27/2019	03/27/2017	1.62500	1.70007	8,500,000.00	8,486,180.10
FEDERAL FARM CREDIT BANK	01/06/2020	01/06/2017	1.72000	1.72000	15,000,000.00	15,000,000.00
FHLMC	01/13/2020	04/13/2017	1.80000	1.80000	20,000,000.00	20,000,000.00
FEDERAL NATL MTG ASSN	01/27/2020	01/31/2017	1.70000	1.71204	25,000,000.00	24,993,198.90
FEDERAL FARM CREDIT BANK	02/18/2020	05/18/2016	1.36000	1.36000	10,000,000.00	10,000,000.00
FEDERAL HOME LOAN BANK	04/27/2020	10/28/2016	1.30000	1.30000	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	06/01/2020	12/01/2016	1.65000	1.65000	20,000,000.00	20,000,000.00
FEDERAL HOME LOAN BANK	06/26/2020	08/30/2017	1.62500	1.62500	15,000,000.00	15,043,333.33
SONOMA COUNTY WATER AGENCY	07/01/2020	10/29/2015	1.75000	1.65038	225,000.00	225,591.55
SCEIP 2009E-10	09/02/2020	11/02/2009	3.00000	3.00000	21,572.53	21,572.53
SCEIP 2009F-10	09/02/2020	12/01/2009	3.00000	3.00000	28,382.19	28,382.19
SCEIP 2010A-10	09/02/2020	01/04/2010	3.00000	3.00000	22,841.81	22,841.81
SCEIP 2010B-10	09/02/2020	02/01/2010	3.00000	3.00000	18,961.59	18,961.59
SCEIP 2010C-10	09/02/2020	03/01/2010	3.00000	3.00000	59,048.34	59,048.34



**SONOMA COUNTY TREASURY POOLED INVESTMENT INVENTORY  
AS OF SEPTEMBER 30, 2017**



Description	Maturity Date	Purchase Date	Coupon Rate	Trading Yield	Current Par / Shares	Current Book / Shares
SCEIP 2010D-10	09/02/2020	04/01/2010	3.00000	3.00000	17,965.76	17,965.76
SCEIP 2010E-10	09/02/2020	05/03/2010	3.00000	3.00000	17,112.07	17,112.07
SCEIP 2010F-10	09/02/2020	06/01/2010	3.00000	3.00000	75,480.99	75,480.99
SCEIP 2010G-10	09/02/2020	06/30/2010	3.00000	3.00000	66,941.32	66,941.32
SCEIP 2010H-10	09/02/2020	08/02/2010	3.00000	3.00000	39,694.90	39,694.90
SCEIP 2010I-10	09/02/2020	09/01/2010	3.00000	3.00000	12,553.65	12,553.65
FEDERAL FARM CREDIT BANK	10/13/2020	10/13/2016	1.34000	1.34000	17,000,000.00	17,000,000.00
FEDERAL FARM CREDIT BANK	10/13/2020	10/13/2016	1.34000	1.34000	3,000,000.00	3,000,000.00
FEDERAL FARM CREDIT BANK	12/07/2020	12/07/2016	1.77000	1.77000	20,000,000.00	20,000,000.00
FEDERAL HOME LOAN BANK	12/28/2020	12/28/2016	1.87500	1.87500	10,000,000.00	10,000,000.00
FEDERAL FARM CREDIT BANK	03/12/2021	06/13/2017	1.80000	1.83217	13,000,000.00	12,986,252.12
FEDERAL FARM CREDIT BANK	04/12/2021	04/12/2016	1.62000	1.62000	15,000,000.00	15,000,000.00
FEDERAL HOME LOAN BANK	04/26/2021	04/26/2016	1.70000	1.70000	7,250,000.00	7,250,000.00
FEDERAL FARM CREDIT BANK	06/02/2021	06/02/2016	1.69000	1.69000	10,000,000.00	10,000,000.00
FHLMC	06/09/2021	06/09/2016	1.60000	1.60000	5,500,000.00	5,500,000.00
FEDERAL HOME LOAN BANK	09/01/2021	09/01/2016	1.55000	1.55522	15,000,000.00	14,997,061.17
SCEIP 2010J-10	09/02/2021	10/01/2010	3.00000	3.00000	7,893.76	7,893.76
SCEIP 2010L-10	09/02/2021	12/01/2010	3.00000	3.00000	119,600.98	119,600.98
SCEIP 2011A-10	09/02/2021	01/03/2011	3.00000	3.00000	5,531.45	5,531.45
SCEIP 2011B-10	09/02/2021	02/01/2011	3.00000	3.00000	20,096.83	20,096.83
SCEIP 2011C-10	09/02/2021	03/01/2011	3.00000	3.00000	30,655.67	30,655.67
SCEIP 2011D-10	09/02/2021	04/01/2011	3.00000	3.00000	131,997.03	131,997.03
SCEIP 2011E-10	09/02/2021	05/02/2011	3.00000	3.00000	13,592.40	13,592.40
SCEIP 2011F-10	09/02/2021	06/01/2011	3.00000	3.00000	25,725.86	25,725.86
SCEIP 2011G-10	09/02/2021	06/30/2011	3.00000	3.00000	13,432.55	13,432.55
SCEIP 2011H-10	09/02/2021	08/01/2011	3.00000	3.00000	44,670.84	44,670.84
SCEIP 2011I-10	09/02/2021	09/01/2011	3.00000	3.00000	49,818.34	49,818.34
FEDERAL FARM CREDIT BANK	09/20/2021	09/20/2017	1.84000	1.84000	5,000,000.00	5,000,000.00
FEDERAL HOME LOAN BANK	10/06/2021	10/06/2016	1.54000	1.54000	10,000,000.00	10,000,000.00
SCEIP 2011J-10	09/02/2022	10/03/2011	3.00000	3.00000	2,860.19	2,860.19
SCEIP 2011K-10	09/02/2022	11/01/2011	3.00000	3.00000	32,095.54	32,095.54
SCEIP 2012A-10	09/02/2022	01/03/2012	3.00000	3.00000	3,054.48	3,054.48
SCEIP 2012B-10	09/02/2022	02/01/2012	3.00000	3.00000	6,059.75	6,059.75
SCEIP 2012C-10	09/02/2022	03/01/2012	3.00000	3.00000	7,242.51	7,242.51
SCEIP 2012D-10	09/02/2022	04/02/2012	3.00000	3.00000	7,664.06	7,664.06
SCEIP 2012G-10	09/02/2022	06/29/2012	3.00000	3.00000	4,620.18	4,620.18
SCEIP 2012H-10	09/02/2022	08/01/2012	3.00000	3.00000	9,618.06	9,618.06
SCEIP 2012J-10	09/02/2023	11/01/2012	3.00000	3.00000	44,337.48	44,337.48
SCEIP 2012K-10	09/02/2023	12/03/2012	3.00000	3.00000	6,374.29	6,374.29
SCEIP 2013A-10	09/02/2023	01/02/2013	3.00000	3.00000	7,091.30	7,091.30
SCEIP 2013C-10	09/02/2023	03/01/2013	3.00000	3.00000	16,891.74	16,891.74
SCEIP 2013E-10	09/02/2023	05/01/2013	3.00000	3.00000	7,094.93	7,094.93
SCEIP 2013H-10	09/02/2023	08/01/2013	3.00000	3.00000	27,384.73	27,384.73
SCEIP 2013I-10	09/02/2023	09/03/2013	3.00000	3.00000	24,134.05	24,134.05
SCEIP 2013J-10	09/02/2024	10/01/2013	3.00000	3.00000	86,509.91	86,509.91
SCEIP 2013L-10	09/02/2024	12/02/2013	3.00000	3.00000	20,156.52	20,156.52
SCEIP 2014A-10	09/02/2024	01/02/2014	3.00000	3.00000	33,279.00	33,279.00
SCEIP 2014B-10	09/02/2024	02/03/2014	3.00000	3.00000	14,059.45	14,059.45
SCEIP 2014C-10	09/02/2024	03/03/2014	3.00000	3.00000	38,437.68	38,437.68
SCEIP 2014D-10	09/02/2024	04/01/2014	3.00000	3.00000	5,384.74	5,384.74
SCEIP 2014E-10	09/02/2024	05/01/2014	3.00000	3.00000	7,864.55	7,864.55
SCEIP 2014F-10	09/02/2024	06/02/2014	3.00000	3.00000	2,810.69	2,810.69
SCEIP 2014G-10	09/02/2024	06/30/2014	3.00000	3.00000	36,322.13	36,322.13
SCEIP 2014H-10	09/02/2024	08/01/2014	3.00000	3.00000	35,753.94	35,753.94
SCEIP 2014I-10	09/02/2024	09/02/2014	3.00000	3.00000	4,698.04	4,698.04
SCEIP 2014J-10	09/02/2025	10/01/2014	3.00000	3.00000	4,524.11	4,524.11
SCEIP 2014K-10	09/02/2025	11/03/2014	3.00000	3.00000	31,370.72	31,370.72
SCEIP 2014L-10	09/02/2025	12/01/2014	3.00000	3.00000	23,154.53	23,154.53

**SONOMA COUNTY TREASURY POOLED INVESTMENT INVENTORY  
AS OF SEPTEMBER 30, 2017**



Description	Maturity Date	Purchase Date	Coupon Rate	Trading Yield	Current Par / Shares	Current Book / Shares
SCEIP 2015A-10	09/02/2025	01/05/2015	3.00000	3.00000	26,466.00	26,466.00
SCEIP 2015C-10	09/02/2025	03/02/2015	3.00000	3.00000	3,434.88	3,434.88
SCEIP 2015F-10	09/02/2025	06/01/2015	3.00000	3.00000	9,734.85	9,734.85
SCEIP 2015H-10	09/02/2025	08/03/2015	3.00000	3.00000	19,099.56	19,099.56
SCEIP 2015I-10	09/02/2025	09/02/2015	3.00000	3.00000	15,695.87	15,695.87
SCEIP 2015J-10	09/02/2026	10/01/2015	3.00000	3.00000	42,931.44	42,931.44
SCEIP 2015K-10	09/02/2026	11/02/2015	3.00000	3.00000	62,061.72	62,061.72
SCEIP 2015L-10	09/02/2026	12/01/2015	3.00000	3.00000	39,136.33	39,136.33
SCEIP 2016B-10	09/02/2026	02/01/2016	3.00000	3.00000	32,809.28	32,809.28
SCEIP 2016D-10	09/02/2026	04/01/2016	3.00000	3.00000	19,165.95	19,165.95
SCEIP 2016G-10	09/02/2026	06/30/2016	3.00000	3.00000	240,664.10	240,664.10
SCEIP 2016H-10	09/02/2026	08/01/2016	3.00000	3.00000	2,614.17	2,614.17
SCEIP 2016I-10	09/02/2026	09/02/2016	3.00000	3.00000	60,598.80	60,598.80
SCEIP 2016K-10	09/02/2027	11/01/2016	3.00000	3.00000	85,598.91	85,598.91
SCEIP 2016L-10	09/02/2027	12/01/2016	3.00000	3.00000	52,375.24	52,375.24
SCEIP 2017A-10	09/02/2027	01/03/2017	3.00000	3.00000	30,632.33	30,632.33
SCEIP 2017B-10	09/02/2027	02/01/2017	3.00000	3.00000	86,204.39	86,204.39
SCEIP 2017D-10	09/02/2027	04/03/2017	3.00000	3.00000	15,434.58	15,434.58
SCEIP 2017F-10	09/02/2027	06/01/2017	3.00000	3.00000	27,062.12	27,062.12
SCEIP 2017I-10	09/02/2027	09/05/2017	3.00000	3.00000	10,000.00	10,000.00
SCEIP 2009B-20	09/02/2029	06/01/2009	3.00000	3.00000	70,961.25	70,961.25
SCEIP 2009C-20	09/02/2029	07/01/2009	3.00000	3.00000	118,409.72	118,409.72
SCEIP 2009D-20	09/02/2029	08/03/2009	3.00000	3.00000	346,299.74	346,299.74
SCEIP 2009E-20	09/02/2029	09/01/2009	3.00000	3.00000	2,308,075.67	2,308,075.67
SCEIP 2009F-20	09/02/2029	10/01/2009	3.00000	3.00000	762,604.38	762,604.38
SCEIP 2009G-20	09/02/2030	11/02/2009	3.00000	3.00000	446,704.83	446,704.83
SCEIP 2009H-20	09/02/2030	12/01/2009	3.00000	3.00000	1,363,311.50	1,363,311.50
SCEIP 2010A-20	09/02/2030	01/04/2010	3.00000	3.00000	1,096,415.71	1,096,415.71
SCEIP 2010B-20	09/02/2030	02/01/2010	3.00000	3.00000	940,668.87	940,668.87
SCEIP 2010C-20	09/02/2030	03/01/2010	3.00000	3.00000	841,177.37	841,177.37
SCEIP 2010D-20	09/02/2030	04/01/2010	3.00000	3.00000	652,813.08	652,813.08
SCEIP 2010E-20	09/02/2030	05/03/2010	3.00000	3.00000	627,488.47	627,488.47
SCEIP 2010F-20	09/02/2030	06/01/2010	3.00000	3.00000	978,878.71	978,878.71
SCEIP 2010G-20	09/02/2030	06/30/2010	3.00000	3.00000	656,197.11	656,197.11
SCEIP 2010H-20	09/02/2030	08/02/2010	3.00000	3.00000	513,388.46	513,388.46
SCEIP 2010I-20	09/02/2030	09/01/2010	3.00000	3.00000	754,239.76	754,239.76
SCEIP 2010J-20	09/02/2031	10/01/2010	3.00000	3.00000	411,222.56	411,222.56
SCEIP 2010K-20	09/02/2031	11/01/2010	3.00000	3.00000	574,424.87	574,424.87
SCEIP 2010L-20	09/02/2031	12/01/2010	3.00000	3.00000	492,884.12	492,884.12
SCEIP 2011A-20	09/02/2031	01/03/2011	3.00000	3.00000	436,179.97	436,179.97
SCEIP 2011B-20	09/02/2031	02/01/2011	3.00000	3.00000	547,535.86	547,535.86
SCEIP 2011C-20	09/02/2031	03/01/2011	3.00000	3.00000	423,768.91	423,768.91
SCEIP 2011D-20	09/02/2031	04/01/2011	3.00000	3.00000	428,728.23	428,728.23
SCEIP 2011E-20	09/02/2031	05/02/2011	3.00000	3.00000	303,297.64	303,297.64
SCEIP 2011F-20	09/02/2031	06/01/2011	3.00000	3.00000	340,197.10	340,197.10
SCEIP 2011G-20	09/02/2031	06/30/2011	3.00000	3.00000	646,339.99	646,339.99
SCEIP 2014F-20	09/02/2034	06/02/2014	3.00000	3.00000	75,322.46	75,322.46
SCEIP 2014G-20	09/02/2034	06/30/2014	3.00000	3.00000	191,485.30	191,485.30
SCEIP 2014H-20	09/02/2034	08/01/2014	3.00000	3.00000	163,028.64	163,028.64
SCEIP 2014I-20	09/02/2034	09/02/2014	3.00000	3.00000	143,842.08	143,842.08
SCEIP 2014J-20	09/02/2035	10/01/2014	3.00000	3.00000	135,977.03	135,977.03
SCEIP 2014K-20	09/02/2035	11/03/2014	3.00000	3.00000	132,421.83	132,421.83
SCEIP 2014L-20	09/02/2035	12/01/2014	3.00000	3.00000	76,302.51	76,302.51
SCEIP 2015A-20	09/02/2035	01/05/2015	3.00000	3.00000	154,495.94	154,495.94
SCEIP 2015B-20	09/02/2035	02/02/2015	3.00000	3.00000	94,221.86	94,221.86
SCEIP 2015C-20	09/02/2035	03/02/2015	3.00000	3.00000	190,855.73	190,855.73
SCEIP 2015D-20	09/02/2035	04/01/2015	3.00000	3.00000	125,406.07	125,406.07
SCEIP 2015E-20	09/02/2035	05/01/2015	3.00000	3.00000	64,169.68	64,169.68

**SONOMA COUNTY TREASURY POOLED INVESTMENT INVENTORY  
AS OF SEPTEMBER 30, 2017**



Description	Maturity Date	Purchase Date	Coupon Rate	Trading Yield	Current Par / Shares	Current Book / Shares
SCEIP 2015F-20	09/02/2035	06/01/2015	3.00000	3.00000	86,880.48	86,880.48
<b>SUBTOTAL OTHER GOVERNMENTS</b>		<b>65.98%</b>			<b>1,302,704,695.37</b>	<b>1,302,387,688.09</b>
BANK OF MONTREAL	10/06/2017	04/07/2017	1.22000	1.22000	25,000,000.00	25,000,000.00
BNP PARIBAS	06/29/2018	06/29/2017	1.60000	1.60000	25,000,000.00	25,000,000.00
SOCIETE GENERALE	07/31/2018	09/13/2017	1.51000	1.51000	25,000,000.00	25,000,000.00
<b>SUBTOTAL NEGOTIABLE CERTIFICATES OF DEPOSIT</b>		<b>3.80%</b>			<b>75,000,000.00</b>	<b>75,000,000.00</b>
CATERPILLAR	11/06/2017	12/03/2012	1.25000	1.10708	5,000,000.00	5,000,683.38
MICROSOFT CORP	11/15/2017	12/05/2016	.87500	.95698	7,826,000.00	7,825,214.00
MICROSOFT CORP	11/15/2017	12/14/2016	.87500	.96245	5,778,000.00	5,777,380.93
GE CAP CORP MTN	12/07/2017	12/07/2012	1.81722	1.81722	25,000,000.00	25,000,000.00
TOYOTA	12/20/2017	12/20/2012	1.57500	1.57500	20,000,000.00	20,000,000.00
TOYOTA	01/12/2018	01/19/2017	1.45000	1.34999	14,925,000.00	14,929,165.23
TOYOTA	01/12/2018	05/16/2017	1.45000	1.25105	6,597,000.00	6,600,637.12
WELLS FARGO CO MTN	01/22/2018	01/29/2016	1.65000	1.66501	7,000,000.00	6,999,683.17
GE CAP CORP MTN	02/01/2018	03/10/2017	5.62500	.20610	10,000,000.00	10,180,937.50
EXXON MOBILE CORP	03/06/2018	12/07/2016	1.30500	1.34674	25,375,000.00	25,370,466.04
BANK OF AMERICA	03/26/2018	05/10/2016	1.65000	1.42009	10,000,000.00	10,010,894.02
WELLS FARGO CO MTN	04/23/2018	07/19/2016	1.94250	1.74385	5,000,000.00	5,005,107.93
TOYOTA	10/25/2018	10/25/2013	1.96444	1.96444	5,000,000.00	5,000,000.00
WELLS FARGO CO MTN	11/28/2018	12/08/2016	1.80000	1.84316	10,000,000.00	9,995,123.74
PEPSI CO	05/02/2019	05/02/2017	1.55000	1.58825	8,000,000.00	7,995,249.32
WELLS FARGO CO MTN	05/24/2019	06/14/2016	1.75000	1.48002	15,000,000.00	15,064,944.10
APPLE	08/02/2019	09/14/2017	1.10000	1.50033	10,000,000.00	9,939,955.84
MICROSOFT CORP	08/08/2019	03/07/2017	1.10000	1.60415	8,000,000.00	7,927,077.64
WELLS FARGO CO MTN	12/06/2019	12/08/2016	1.96611	1.96611	10,000,000.00	10,000,000.00
WELLS FARGO CO MTN	12/06/2019	12/08/2016	2.15000	2.19789	10,000,000.00	9,989,949.85
<b>SUBTOTAL CORPORATE NOTES AND BONDS</b>		<b>11.07%</b>			<b>218,501,000.00</b>	<b>218,612,469.81</b>
CAMP	10/01/2017	07/08/2002	1.14636	1.14636	114,236,718.67	114,236,718.67
CAL TRUST MMF	10/01/2017	08/28/2009	.91784	.91784	23,013,270.97	23,013,270.97
<b>SUBTOTAL MONEY MARKET MUTUAL FUNDS</b>		<b>6.95%</b>			<b>137,249,989.64</b>	<b>137,249,989.64</b>
LOCAL AGENCY INVEST	10/01/2017	11/04/2002	1.07415	1.07415	3,023,613.80	3,023,613.80
<b>SUBTOTAL GOVERNMENT POOLS AND JPA'S</b>		<b>0.15%</b>			<b>3,023,613.80</b>	<b>3,023,613.80</b>
<b>CASH IN BANK</b>		<b>1.13%</b>			<b>22,302,318.62</b>	<b>22,302,318.62</b>
<b>CHECK AND WARRANTS IN TRANSIT</b>		<b>0.03%</b>			<b>671,489.70</b>	<b>671,489.70</b>
<b>CASH IN VAULT</b>		<b>0.00%</b>			<b>73,497.22</b>	<b>73,497.22</b>
<b>GRAND TOTAL</b>		<b>100%</b>			<b>1,974,526,604.35</b>	<b>1,974,050,287.98</b>